

# DEPARTMENT OF AGRICULTURE FREEDOM OF INFORMATION ACT ANNUAL REPORT FOR FISCAL YEAR 2010

#### U. S. DEPARTMENT OF AGRICULTURE

## Freedom of Information Act Annual Report FY 2010

## I. Basic Information Regarding Report

A. Name, title, address, and telephone number of person to be contacted with questions about the report:

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- B. Electronic link for access to the Report on the agency Web site: <a href="http://www.usda.gov/da/foia.htm">http://www.usda.gov/da/foia.htm</a>
- C. How to obtain a paper copy of this report:

Contact Courtney Wilkerson at the contact information listed above.

## II. Making a FOIA Request

A. Names, addresses, and telephone numbers of all individual agency components that receive FOIA requests.

For the names, addresses, and telephone numbers, visit our Web site at <a href="http://www.usda.gov/da/foia.htm">http://www.usda.gov/da/foia.htm</a>.

B. Brief description of why some requests are not granted and an overview of certain general categories of USDA records to which FOIA exemptions apply.

The United States Department of Agriculture (USDA) administers many, widely diverse programs. Most USDA records relate to program administration and program participants. USDA invokes the two privacy exemptions of the FOIA more than the rest of the exemptions combined.

#### III. Definitions of Terms and Acronyms Used in the Report:

A. Mission Area, Agency and Staff Office acronyms are as follows:

AMS - Agricultural Marketing Service

APHIS - Animal and Plant Health Inspection Service

ASCR – Assistant Secretary for Civil Rights

DM - Departmental Management

FAS - Foreign Agricultural Service

FNCS - Food, Nutrition and Consumer Service

FSA - Farm Service Agency

FSIS - Food Safety and Inspection Service

FS - Forest Service

GIPSA - Grain Inspection, Packers and Stockyards Administration

NAD - National Appeals Division

NRCS - Natural Resources Conservation Service

OBPA - Office of Budget and Program Analysis

OCFO - Office of the Chief Financial Officer

OGC - Office of General Counsel

OIG - Office of the Inspector General

OSEC- Office of the Secretary

RD - Rural Development

REE - Research, Education and Economics

RMA - Risk Management Agency

#### B. Definitions of terms used in this report:

- 1. Administrative Appeal a request to a federal agency asking that it review at a higher administrative level a FOIA determination made by the agency at the initial request level.
- **2. Average Number** the number obtained by dividing the sum of a group of numbers by the quantity of numbers in the group. For example, of 3, 10, and 14, the average number is 9.
- **3. Backlog** the number of requests or administrative appeals that are pending at an agency at the end of the fiscal year that are beyond the statutory time period for a response.
- **4. Component** for agencies that process requests on a decentralized basis, a "component" is an entity, also sometimes referred to as an Office, Division, Bureau, Center, or Directorate, within the agency that processes FOIA requests. The FOIA now requires that agencies include in their Annual FOIA Report data for both the agency overall and for each principal component of the agency.
- **5. Consultation** the procedure whereby the agency responding to a FOIA request first forwards a record to another agency for its review because that other agency has an interest in the document. Once the agency in receipt of the consultation finishes its review of the record, it responds back to the agency that forwarded it. That agency, in turn, will then respond to the FOIA requester.
- **6.** Exemption 3 Statute a federal statute that exempts information from disclosure and which the agency relies on to withhold information under subsection (b)(3) of the FOIA.
- 7. FOIA Request a FOIA request is generally a request to a federal agency for access to records concerning another person (i.e., a "third-party" request), or concerning an organization, or a particular topic of interest. FOIA requests also include requests made by requesters seeking records concerning themselves (i.e., "first-party" requests) when those requesters are not subject to the Privacy Act, such as non-U.S. citizens. Moreover, because all first-party requesters should be

afforded the benefit of both the access provisions of the FOIA as well as those of the Privacy Act, FOIA requests also include any first-party requests where an agency determines that it must search beyond its Privacy Act "systems of records" or where a Privacy Act exemption applies, and the agency looks to FOIA to afford the greatest possible access. All requests which require the agency to utilize the FOIA in responding to the requester are included in this Report.

Additionally, a FOIA request includes records referred to the agency for processing and direct response to the requester. It does not, however, include records for which the agency has received a consultation from another agency. (Consultations are reported separately in Section XII of this Report.)

- **8.** Full Grant an agency decision to disclose all records in full in response to a FOIA request.
- **9. Full Denial** an agency decision not to release any records in response to a FOIA request because the records are exempt in their entireties under one or more of the FOIA exemptions, or because of a procedural reason, such as when no records could be located.
- **10. Median Number** the middle, not average, number. For example, of 3, 10, and 14, the median number is 10.
- 11. Multi-Track Processing a system in which simple requests requiring relatively minimal review are placed in one processing track and more voluminous and complex requests are placed in one or more other tracks. Requests granted expedited processing are placed in yet another track. Requests in each track are processed on a first in/first out basis.
  - a. **Expedited Processing** an agency will process a FOIA request on an expedited basis when a requester satisfies the requirements for expedited processing as set forth in the statute and in agency regulations.
  - b. **Simple Request** a FOIA request that an agency using multi-track processing places in its fastest (non-expedited) track based on the low volume and/or simplicity of the records requested.
  - c. **Complex Request** a FOIA request that an agency using multi-track processing places in a slower track based on the high volume and/or complexity of the records requested.
- **12. Partial Grant/Partial Denial** in response to a FOIA request, an agency decision to disclose portions of the records and to withhold other portions that are exempt under the FOIA, or to otherwise deny a portion of the request for a procedural reason.
- **13. Pending Request or Pending Administrative Appeal** a request or administrative appeal for which an agency has not taken final action in all respects.

- **14. Perfected Request** a request for records which reasonably describes such records and is made in accordance with published rules stating the time, place, fees (if any) and procedures to be followed.
- **15. Processed Request or Processed Administrative Appeal** a request or administrative appeal for which an agency has taken final action in all respects.
- **16. Range in Number of Days** the lowest and highest number of days to process requests or administrative appeals.
- 17. Time Limits the time period in the statute for an agency to respond to a FOIA request (ordinarily twenty working days from receipt of a perfected FOIA request).
- C. Concise descriptions of the nine FOIA exemptions:
  - 1. Exemption 1: classified national defense and foreign relations information
  - 2. Exemption 2: internal agency rules and practices
  - **3.** Exemption **3**: information that is prohibited from disclosure by another federal law
  - 4. Exemption 4: trade secrets and other confidential business information
  - **5. Exemption 5**: inter-agency or intra-agency communications that are protected by legal privileges
  - **6.** Exemption **6**: information involving matters of personal privacy
  - 7. Exemption 7: records or information compiled for law enforcement purposes, to the extent that the production of those records (A) could reasonably be expected to interfere with enforcement proceedings, (B) would deprive a person of a right to a fair trial or an impartial adjudication, (C) could reasonably be expected to constitute an unwarranted invasion of personal privacy, (D) could reasonably be expected to disclose the identity of a confidential source, (E) would disclose techniques and procedures for law enforcement investigations or prosecutions, or would disclose guidelines for law enforcement investigations or prosecutions, or (F) could reasonably be expected to endanger the life or physical safety of any individual
  - **8.** Exemption **8**: information relating to the supervision of financial institutions
  - **9. Exemption 9**: geological information on wells

## IV. EXEMPTION 3 STATUTES

Statute	Type of Information Withheld	Case Citation	Times Relieupon per	Number of Times Relied upon per Component	
Federal Insecticide, Fungicide, and Rodenticide Act (FIFRA) 7 U.S.C. 136	Disclosure of any information regarding the location and the use of pesticides.		AMS APHIS ASCR DA FAS FNCS FSA FS GIPSA NAD NRCS OBPA OCFO OGC OIG OSEC REE RMA RD	1	by Agency  1

Statute	Type of Information Withheld	Case Citation	Number of Times Relie upon per Component	ed	Total Number of Times Relied upon by Agency
41 U.S.C. 253b(m)	Proposal not set forth or incorporated by reference in a contract entered into between the agency and the contractor.  Business/financial information  Technical Proposals of Contracts - Business/financial information	Hornbostel v. Dept. of the Interior, 305 F. Suppl. 2nd 21 (D.D.C. 2003)	AMS APHIS ASCR DA FAS FNCS FSA FS FSIS GIPSA NAD NRCS OBPA OCFO OGC OIG OSEC REE RMA RD	2 1	5

Statute	Type of Information Withheld	Case Citation	Number of Times Relied upon per Component		Total Number of Times Relied upon by Agency
Agricultural Bioterrorism Protection Act	Disclosure of use		AMS APHIS ASCR DA FAS FNCS FSA FS FSIS	3	by Agency
of 2002, Sec. 212, Regulation of Certain Biological Agents and Toxins	and locations of biological agents and toxins.		GIPSA NAD NRCS OBPA OCFO OGC OIG OSEC REE RMA RD		3

Statute	Type of Information Withheld	Case Citation	Number of Times Relie upon per Component	-	Total Number of Times Relied upon by Agency
7 U.S.C. 20011- 2036, The Food Stamp Act (and 7 CRG 272.1 (2) 11 (e)(8)	Names and personal information of SNAP (Food Stamps) recipients; confidential information provided by firms participating in SNAP.	Roberts v. Austin, 632 F.2d 1202. 1203 (5th Cir. 1980), cert. denied, 454 U.S. 975, 102 S.Ct. 527, 70 L. Ed. 2nd 395 (1981).	AMS APHIS ASCR DA FAS FNCS FSA FS FSIS GIPSA NAD NRCS OBPA OCFO OGC OIG OSEC REE RMA RD	10	10

Statute	Type of Information Withheld	Case Citation	Number of Times Relie upon per Component		Total Number of Times Relied upon by Agency
Section 1619 of the Food, Conservation, and Energy Act of 2008	Information provided by an agricultural producer or owner of agricultural land in order to participate in a USDA program, and concerning agricultural operations, farming, or conservation practices or the land itself.  Information contained in any type of USDA geospatial information system (GIS) with producer-provided information about agricultural land or operations.	Central Platte Natural Resources District v. U.S. Department of Agriculture and Farm Service Agency, No. 4:09CV3198 (WKU) (Dist of NE Sept. 8, 2010)	AMS APHIS ASCR DA FAS FNCS FSA FS FSIS GIPSA NAD NRCS OBPA OCFO OGC OIG OSEC REE RMA RD	301	301

Statute	Type of Information Withheld	Case Citation	Number of Times Relie upon per Component	ed	Total Number of Times Relied upon by Agency
41 U.S.C. 253(b)	Confidential commercial information, including contract proposals.  Business/financial information	Hornbostel v. Dept. of the Interior, 305 F. Suppl. 2nd 21 (D.D.C. 2003)	AMS APHIS ASCR DA FAS FNCS FSA FS FSIS GIPSA NAD NRCS OBPA OCFO OGC OIG OSEC REE RMA RD	1	2

Statute	Type of Information Withheld	Case Citation	Number of Times Relie upon per Component	ed	Total Number of Times Relied upon by Agency
7 U.S.C. 2276	Respondents' identity and unaggregated survey data.  Identities and respondents of unaggregated survey data.		AMS APHIS ASCR DA FAS FNCS FSA FS FSIS GIPSA NAD NRCS OBPA OCFO OGC OIG OSEC REE RMA RD	3	7

Statute	Type of Information Withheld	Case Citation	Number of Times Relie upon per Component	ed	Total Number of Times Relied upon by Agency
42 U.S.C. 2000e-5(b), 2000e-8(e)	Information obtained by the EEOC in investigating charges of unlawful employment practices.	Frito-Lay v. EEOC, 964 F. Supp. 236, 240- 43 (W. D. Ky. 1997)	AMS APHIS ASCR DA FAS FNCS FSA FS FSIS GIPSA NAD NRCS OBPA OCFO OGC OIG OSEC REE RMA RD	1	1

Statute	Type of Information Withheld	Case Citation	Number of Times Relied upon per Component	1	Total Number of Times Relied upon by Agency
26 U.S.C. 6103 (b)(2)(A)	Financial Information	Linsteadt v. IRS, 729 F.2d 998, 1000 (5th Cir. 1984)	AMS APHIS ASCR DA FAS FNCS FSA FS FSIS GIPSA NAD NRCS OBPA OCFO OGC OIG OSEC REE RMA RD	1	1

Statute	Type of Information Withheld	Case Citation	Number of Times Relie upon per		Total Number of Times
			Component		Relied upon by Agency
Exemption 3, Section 1619 of the Food, Conservation, and Energy Act of 2008	Information provided by an agricultural producer or owner of agricultural land in order to participate in a USDA program, and concerning agricultural operations, farming or conservation practices or the land itself.  Information contained in any type of USDA geospatial information system (GIS) with producer-provided information about agricultural land or operations.		AMS APHIS ASCR DA FAS FNCS FSA FS FSIS GIPSA NAD NRCS OBPA OCFO OGC OIG OSEC REE RMA RD	43	43

Statute	Type of Information Withheld	Case Citation	Number of Times Relie upon per Component	ed	Total Number of Times Relied upon by Agency
Agricultural Marketing Agreement Act of 1937, as amended (AMAA) (d)(3)	Confidential and Financial Information		AMS APHIS ASCR DA FAS FNCS FSA FS GIPSA NAD NRCS OBPA OCFO OGC OIG OSEC REE RMA RD	1	1

Statute	Type of Information Withheld	Case Citation	Number of Times Relied upon per		Total Number of Times
			Component		Relied upon
			AMS	1	by Agency
			APHIS		
			ASCR		
			DA		
			FAS		
	Technical		FNCS		
			FSA		
			FS	8	
The National	proposal		FSIS		
Defense	submitted by		GIPSA		9
Authorization	unsuccessful		NAD		
Act of 1977	contractors		NRCS		
			OBPA		
			OCFO		
			OGC		
			OIG		
			OSEC		
			REE		
			RMA		
			RD	1	

Statute	Type of Information Withheld	Case Citation	Number of Times Relie upon per Component	ed	Total Number of Times Relied upon by Agency
7 U.S.C. 1502(c) FCIC Crop Insurance Act Section 502	Personal information provided by the producer (policy information, yield data, etc.)		AMS APHIS ASCR DA FAS FNCS FSA FS GIPSA NAD NRCS OBPA OCFO OGC OIG OSEC REE RMA RD	8	8

Statute	Type of Information Withheld	Case Citation	Number of Times Relie upon per Component	ed	Total Number of Times Relied upon by Agency
16 USC 470hh	Archaeological Resources Protection Act of 1979 - Archaeological, site maps, cultural resources and historic sites	None	AMS APHIS ASCR DA FAS FNCS FSA FS FSIS GIPSA NAD NRCS OBPA OCFO OGC OIG OSEC REE RMA RD	3	3

Statute	Type of Information Withheld	Case Citation	Number of Times Relie upon per Component	ed	Total Number of Times Relied upon by Agency
16 USC 470w-3	National Historic Preservation Act of 1966 - Location, character, or ownership of historic resources	None	AMS APHIS ASCR DA FAS FNCS FSA FS FSIS GIPSA NAD NRCS OBPA OCFO OGC OIG OSEC REE RMA RD	11	11

## V. FOIA REQUEST

## A. Received, Processed, and Pending FOIA Requests

	Number of Requests Pending as of Start of Fiscal Year	Number of Requests Received in Fiscal Year	Number of Requests Processed in Fiscal Year	Number of Requests Pending as of End of Fiscal Year
AMS	15	126	126	15
APHIS <sup>1</sup>	462	708	909	261
ASCR <sup>2</sup>	2	5	7	0
DM	8	63	65	6
FAS	1	30	27	4
FNCS	6	177	161	22
FSA <sup>3</sup>	141	14,267	14,247	161
FS	265	2,771	2,378	658
FSIS	160	387	248	299
GIPSA	4	77	77	4
NAD	0	10	10	0
NRCS	5	475	476	4
OBPA	0	13	13	0
OCFO	1	27	27	1
OGC	11	24	25	10
OIG	27	121	145	3
OSEC	18	83	75	26
REE	6	144	136	14
RMA	10	110	107	13
RD	60	750	703	107
USDA OVERALL	1,202	20,368	19,962	1,608

<sup>&</sup>lt;sup>1</sup> APHIS - Five cases were inadvertently closed out of the database system in FY09 while they were actually still pending at the end of FY09, therefore making 462 the actual starting number of pending requests at the beginning of FY10.

<sup>&</sup>lt;sup>2</sup> ASCR - Two cases were inadvertently closed out of the database system in FY09 while they were actually still pending at the end of FY09, therefore making 2 the actual starting number of pending requests at the beginning of FY10.

<sup>&</sup>lt;sup>3</sup> FSA - The number of request pending at the end of fiscal year 2009 was incorrect. It should have been reported as 141. This is because 29 Privacy Act requests were incorrectly entered into the system in fiscal year 2009, as FOIA requests. They were deleted prior to the start of fiscal year 2010. (This also reduces the number of FOIA requests received in fiscal year 2009 to 6416).

# B. (1) Disposition of FOIA Requests – All Processed Requests

	Number of Full Grants	Number of Partial Grants/ Partial Denials	Number of Full Denials Based on Exemptions		Fı	ıll Denials	based or	n reasons o	other than	exemp	tions		
				No Records	All Records Referred to Another Component or Agency	Request Withdrawn	Fee- Related Reason	Records not Reasonably Described	Improper FOIA Request for Other Reason	Not Agency Record	Duplicat e Request	Other	TOTAL
AMS	35	67	6	10	2	4	2	0	0	0	0	0	126
APHIS	127	470	33	169	14	49	0	2	0	1	12	32	909
ASCR	3	1	1	1	1	0	0	0	0	0	0	0	7
DM	40	18	0	2	1	2	0	1	0	1	0	0	65
FAS	15	6	1	1	0	1	0	1	0	2	0	0	27
FNCS	50	52	5	28	0	6	1	1	4	14	0	0	161
FSA	12,719	370	221	283	364	66	96	36	32	12	10	38	14,247
FS	1,323	546	43	229	106	49	19	5	19	5	19	15	2,378
FSIS	55	96	2	24	7	41	0	1	1	8	5	8	248
GIPSA	45	17	4	6	0	1	0	0	0	0	0	4	77
NAD	4	1	0	0	5	0	0	0	0	0	0	0	10
NRCS	354	56	20	20	5	10	1	0	0	10	0	0	476
OBPA	4	0	0	5	3	0	0	0	0	1	0	0	13
OCFO	13	4	1	3	3	0	0	0	1	2	0	0	27
OGC	2	7	1	9	0	5	0	0	0	0	0	1	25
OIG	9	56	29	30	4	5	1	0	2	0	3	6	145
OSEC	23	15	0	13	11	3	1	8	0	1	0	0	75
REE	86	20	5	1	0	0	0	5	1	15	0	3	136
RMA	46	30	5	7	1	11	0	1	2	4	0	0	107
RD	462	117	16	29	30	36	3	1	5	2	2	0	703
USDA OVERALL	15,415	1,949	393	870	557	289	124	62	67	78	51	107	19,962

# B. (2) Disposition of FOIA Requests – "Other" Reasons for "Full Denials Based on Reasons Other than Exemptions" from Section V, B(1) Chart

Component	Description of "Other" Reasons for Denials from Chart B (1) & Number of Times Those Reasons Were Relied upon	Number of Time "Other" reason was relied upon	TOTAL
AMS	N/A	0	0
	Refer to the web for records	30	32
APHIS	Litigation	2	
ASCR	N/A	0	0
DM	NA	0	0
FAS	N/A	0	0
FNCS	N/A	0	0
	Glomar Response	8	
FSA	Requester referred to public web site	10	38
	Records not available in format requested	18	-
	Requester Failed to provide adequate mailing address	2	-
	Glomar	6	
	No 3rd Part Permission to Release Records	3	1
FS	Records publicly available	5	15
	Legal review	1	-
FSIS	Requestor referred to public Web site	8	8
GIPSA	Administratively closed	4	4
	Glomar	0	
NAD	N/A	0	0
NRCS	N/A	0	0
OBPA	N/A	0	0
OCFO	N/A	0	0
OGC	Requester left no forwarding address	1	1
OIG	No authorization to release records	4	6
OlG	Glomarization	2	6
	N/A		
OSEC	17/1	0	0
REE	Not a FOIA Request	3	3
RMA	N/A	0	0
RD	N/A		
USDA OVERALL		0	0 107

B. (3) Disposition of FOIA Requests – Number of Times Exemptions Applied

Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9
AMS	0	3	1	38	11	47	6	0	6	1	0	0	0	0
APHIS	0	47	6	75	37	446	79	0	277	0	1	21	0	0
ASCR	0	0	0	0	0	0	0	0	0	0	0	0	0	0
DM	0	0	0	8	0	7	0	0	0	0	0	0	0	0
FAS	0	0	0	6	2	4	0	0	0	0	0	0	0	0
FNCS	0	0	10	1	2	42	0	1	32	7	27	0	0	0
FSA	8	5	306	11	32	293	3	0	6	1	0	0	0	0
FS	0	41	29	82	71	293	10	2	99	2	6	0	0	2
FSIS	0	5	1	48	7	63	3	0	54	0	0	0	0	0
GIPSA	0	0	0	5	2	4	6	0	9	0	1	0	0	0
NAD	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NRCS	0	1	33	10	1	46	0	0	0	0	0	0	0	0
OBPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OCFO	0	0	1	0	0	0	0	0	0	0	0	0	0	0
OGC	0	0	0	0	8	2	0	0	0	0	0	0	0	0
OIG	0	13	2	26	36	107	17	0	81	0	7	11	0	0
OSEC	0	7	0	2	11	16	0	0	1	0	0	0	0	1
REE	0	1	3	13	3	19	0	0	0	0	0	0	0	0
RMA	0	1	8	7	12	17	6	0	3	0	1	0	0	0
RD	0	0	1	53	27	66	1	0	9	0	0	0	0	0
USDA		·												
Overall	8	124	401	385	262	1472	131	3	577	11	43	32	0	3

# VI. ADMINISTRATIVE APPEALS OF INITIAL DETERMINATIONS OF FOIA REQUESTS

## A. Received, Processed, and Pending Administrative Appeals

Component	Appeals Pending as of Start of Fiscal Year	Number of Appeals Received in Fiscal Year	Number of Appeals Processed in Fiscal Year	Number of Appeals Pending as of End of Fiscal Year
AMS	8	17	18	7
APHIS <sup>4</sup>	70	17	30	57
ASCR	0	0	0	0
DM	0	2	1	1
FAS	0	0	0	0
FNCS <sup>5</sup>	4	16	13	7
FSA <sup>6</sup>	7	32	35	4
FS <sup>7</sup>	36	63	68	31
FSIS	5	0	0	5
GIPSA	0	1	1	0
NAD	1	0	0	1
NRCS	1	13	12	2
OBPA	0	0	0	0
OCFO	0	0	0	0
OGC	3	6	6	3
OIG	2	15	11	6
OSEC	0	3	1	2
REE	2	2	4	0
RMA	3	1	2	2
RD	17	9	11	15
USDA OVERALL	159	197	213	143

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<sup>&</sup>lt;sup>4</sup> APHIS - Two appeals which were actually closed in FY09 were not updated in the database system until FY10, making the number of pending appeals at the end of FY09 '70' not '72'.

<sup>&</sup>lt;sup>5</sup> FNCS - Number of appeals pending as of start of FY10 is different from the FY09 annual report due to an appeal being found that was never answered.

<sup>&</sup>lt;sup>6</sup> FSA - The number of appeals reported pending in FY09 was reported as 6. The correct number should have been 7.

<sup>&</sup>lt;sup>7</sup> FS - Some reporting inconsistencies with totals referenced from USDA's FY 2009 FOIA Report may be attributable to the three different migrations of data actions from a proprietary tracking system to the newly procured FOIAXpress system. The national backlog increase may have been caused by either the data migration or the more accurate system capturing "open" cases as backlog.

B. Disposition of Administrative Appeals – All Processed Appeals

D. Disposit	on or riamin	<u> istratīve Appeais – Ai</u>	1 1 1 occssed 1 ippears		
Component	Number Affirmed on Appeal	Number Partially Affirmed & Partially Reversed/Remanded on Appeal	Number Completely Reversed/Remanded on Appeal	Number of Appeals Closed for Other Reasons	TOTAL
AMS	9	0	3	6	18
APHIS	1	0	0	29	30
ASCR	0	0	0	0	0
DM	1	0	0	0	1
FAS	0	0	0	0	0
FNCS	2	9	1	1	13
FSA	9	11	3	12	35
FS	16	21	0	31	68
FSIS	0	0	0	0	0
GIPSA	0	0	1	0	1
NAD	0	0	0	0	0
NRCS	0	13	0	0	13
OBPA	0	0	0	0	0
OCFO	0	0	0	0	0
OGC	1	1	0	4	6
OIG	9	1	0	1	11
OSEC	0	0	0	1	1
REE	1	0	2	1	4
RMA	1	1	0	0	2
RD	3	2	1	5	11
USDA OVERALL	53	58	11	91	213

C. (1) Reasons for Denial on Appeal – Number of Times Exemptions Applied

			_											C. (1) Reasons for Demai on Appear Trained of Times Exemptions Applied									
Component	Ex. 1	Ex. 2	Ex. 3	Ex. 4	Ex. 5	Ex. 6	Ex. 7(A)	Ex. 7(B)	Ex. 7(C)	Ex. 7(D)	Ex. 7(E)	Ex. 7(F)	Ex. 8	Ex. 9									
AMS	0	0	0	1	0	2	0	0	2	0	0	0	0	0									
APHIS	0	0	0	0	0	1	0	0	1	0	0	0	0	0									
ASCR	0	0	0	0	0	0	0	0	0	0	0	0	0	0									
DM	0	0	0	0	0	0	0	0	0	0	0	0	0	0									
FAS	0	0	0	0	0	0	0	0	0	0	0	0	0	0									
FNCS	0	0	0	0	0	2	0	0	4	2	8	0	0	0									
FSA	0	0	10	0	2	10	0	0	3	0	0	0	0	0									
FS	0	0	1	1	7	15	1	0	7	0	0	0	0	0									
FSIS	0	0	0	0	0	0	0	0	0	0	0	0	0	0									
GIPSA	0	0	0	1	0	0	0	0	0	0	0	0	0	0									
NAD	0	0	0	0	0	0	0	0	0	0	0	0	0	0									
NRCS	0	0	0	4	0	13	0	0	0	0	0	0	0	0									
OBPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0									
OCFO	0	0	0	0	0	0	0	0	0	0	0	0	0	0									
OGC	0	0	0	0	2	1	0	0	0	0	0	0	0	0									
OIG	0	2	0	0	2	5	1	0	7	0	1	1	0	0									
OSEC	0	0	0	0	0	0	0	0	0	0	0	0	0	0									
REE	0	0	1	0	0	0	0	0	0	0	0	0	0	0									
RMA	0	0	0	0	0	1	1	0	0	0	0	0	0	0									
RD	0	0	0	1	0	2	0	0	0	0	0	0	0	0									
USDA OVERALL	0	2	12	8	13	52	3	0	24	2	9	1	0	0									

# C. (2) Reasons for Denial on Appeal – Reasons Other than Exemptions

Component	No Records	Records Referred at Initial Request Level	Request Withdrawn	Fee- Related Reason	Records not Reasonably Described	Improper Request for Other Reasons	Not Agency Record	Duplicate Request or Appeal	Request in Litigation	Appeal Based Solely on Denial of Request for Expedited Processing	Other *Explain in chart below
AMS	3	0	1	3	0	0	0	0	0	0	4
APHIS	0	0	3	0	0	0	0	0	0	0	26
ASCR	0	0	0	0	0	0	0	0	0	0	0
DM	1	0	0	0	0	0	0	0	0	0	0
FAS	0	0	0	0	0	0	0	0	0	0	0
FNCS	0	0	0	0	0	0	0	0	0	0	0
FSA	7	1	2	2	0	0	0	0	0	0	8
FS	0	0	0	0	1	2	0	0	0	0	5
FSIS	0	0	0	0	0	0	0	0	0	0	0
GIPSA	0	0	0	0	0	0	0	0	0	0	0
NAD	0	0	0	0	0	0	0	0	0	0	0
NRCS	0	0	0	0	0	0	0	0	0	0	0
OBPA	0	0	0	0	0	0	0	0	0	0	0
OCFO	0	0	0	0	0	0	0	0	0	0	0
OGC	0	0	3	0	0	0	0	0	0	0	1
OIG	0	0	0	0	0	1	0	0	0	0	0
OSEC	0	0	0	0	0	1	0	0	0	0	0
REE	0	0	1	0	0	0	0	0	0	0	0
RMA	0	0	0	0	0	0	0	0	0	0	0
RD	0	0	5	0	0	0	0	0	0	0	0
USDA OVERALL	11	1	15	5	1	4	0	0	0	0	44

# C. (3) Reasons for Denial on Appeal – "Other" Reasons from Section VI, C (2) Chart

Component	Description of "Other" Reasons for Denial on Appeal from Chart C (2)	Number of times "Other" Reason was Relied Upon	Component TOTAL
AMS	Litigation Settlement with Requestor	4	4
APHIS	Records provide as response to request	6	
	Unable to contact appelent	18	26
	Records destroyed	2	1 20
ASCR	N/A	0	0
DM	N/A	0	0
FAS	N/A	0	0
FNCS	N/A	0	0
FSA	Untimely appeal (received by FSA after final date to file appeal)	4	
	Not ripe for appeal (initial request not processed according to FSA's Public regulations and policies, and returned to the record-holding office for additional processing.	4	8
FS	Moot	5	5
FSIS	N/A	0	0
GIPSA	N/A	0	0
NAD	N/A	0	0
NRCS	N/A	0	0
OBPA	N/A	0	0
OCFO	N/A	0	0
OGC	Requester left no forwarding address	1	1
OIG	N/A	0	0
OSEC	N/A	0	0
REE	N/A	0	0
RMA	N/A	0	0
RD	N/A	0	0
USDA Overall			44

# C. (4) Response time for Administrative Appeals

Component	*Median Number of Days	#Average Number of Days	Lowest Number of Days	Highest Number of Days
AMS	23.50	163.00	1.00	1,197.00
APHIS	922.00	964.00	107.00	1,741.00
ASCR	0.00	0.00	0.00	0.00
DM	14.00	14.00	6.00	22.00
FAS	0.00	0.00	0.00	0.00
FNCS	91.00	117.00	28.00	300.00
FSA	16.00	219.00	1.00	2,160.00
FS	55.50	100.44	0.00	720.00
FSIS	0.00	0.00	0.00	0.00
GIPSA	31.00	31.00	31.00	31.00
NAD	0.00	0.00	0.00	0.00
NRCS	1.00	1.00	1.00	1.00
OBPA	0.00	0.00	0.00	0.00
OCFO	0.00	0.00	0.00	0.00
OGC	102.00	171.00	55.00	566.00
OIG	12.00	38.00	9.00	128.00
OSEC	0.00	0.00	0.00	0.00
REE	16.00	19.00	10.00	33.00
RMA	238.00	238.00	59.00	417.00
RD	617.00	651.00	22.00	1,265.00
USDA Overall	461.00	131.82	0.00	2160.00

C. (5) Ten Oldest Pending Administrative Appeals

	<u> </u>	(5) Ten Ol	<u>dest Pendir</u>								
		10 <sup>th</sup> Oldest	9th	8th	7 <sup>th</sup>	6 <sup>th</sup>	5 <sup>th</sup>	4 <sup>th</sup>	3 <sup>rd</sup>	2 <sup>nd</sup>	Oldest
AMS	Date	N/A	N/A	N/A	2010-09-27	2010-08-23	2010-05-19	2009-10-16	2009-09-14	2008-11-20	2008-09-26
	Number of Days Pending	0	0	0	3	27	93	236	261	463	500
APHIS	Date	2005-06-14	2005-05-31	2005-05-03	2005-04-25	2004-11-02	2004-08-12	2004-06-15	2003-10-27	2003-08-01	2003-07-31
	Number of Days Pending	1345	1354	1373	1378	1501	1558	1599	1764	1824	1825
ASCR	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
DM	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2010-09-21
	Number of Days Pending	0	0	0	0	0	0	0	0	0	22
FAS	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
FNCS	Date	N/A	N/A	2010-09-29	2010-08-06	2010-06-24	2010-06-09	2009-12-17	2008-12-09	2007-12-21	2007-10-23
	Number of Days Pending	0	0	0	38	66	77	190	446	686	723
FSA	Date	N/A	N/A	N/A	N/A	N/A	N/A	2010-09-27	2010-09-08	2010-06-03	2002-04-22
	Number of Days Pending	0	0	0	0	0	0	3	16	85	2203
FS	Date	2009-09-15	2009-09-15	2009-06-22	2009-06-19	2009-06-18	2009-05-27	2009-04-09	2008-09-02	2008-08-25	2008-08-07
	Number of Days Pending	262	262	321	322	323	339	372	522	527	539
FSIS	Date	N/A	N/A	N/A	N/A	N/A	2009-06-08	2009-05-01	2008-08-04	2008-08-04	2008-04-29
	Number of Days Pending	0	0	0	0	0	331	356	542	542	609
GIPSA	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
NAD	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
NRCS	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2010-09-13
	Number of Days Pending	0	0	0	0	0	0	0	0	0	15
OBPA	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days Pending	0	0	0	0	0	0	0	0	0	0
OCFO	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
l	Number of Days Pending	0	0	0	0	0	0	0	0	0	0

		10 <sup>th</sup> Oldest	9th	8th	7 <sup>th</sup>	6 <sup>th</sup>	5 <sup>th</sup>	4 <sup>th</sup>	3 <sup>rd</sup>	2 <sup>nd</sup>	Oldest
OGC	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2010-05-26	2008-08-14	2008-04-28
	Number of Days Pending	0	0	0	0	0	0	0	88	541	586
OIG	Date	N/A	N/A	N/A	N/A	2010-09-27	2010-09-27	2010-09-21	2010-08-16	2010-07-16	2010-02-17
	Number of Days Pending	0	0	0	0	4	4	8	33	54	159
OSEC	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2010-07-21	2010-07-21
	Number of Days Pending	0	0	0	0	0	0	0	0	52	52
REE	Date	N/A	N/A	N/A	N/A	N/A	N/A	2010-11-30	2010-05-21	2009-10-20	2009-09-25
	Number of Days Pending	0	0	0	0	0	0	10	16	16	33
RMA	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2009-05-07	2006-12-28
	Number of Days Pending	0	0	0	0	0	0	0	0	355	957
RD	Date	2010-04-12	2010-02-23	2009-10-09	2009-08-04	2009-06-05	2008-08-22	2007-10-19	2007-08-24	2007-08-24	2006-01-20
	Number of Days Pending	124	158	255	303	345	550	770	810	810	1225
USDA Overall	Date	2005-05-31	2005-05-03	2005-04-25	2004-11-02	2004-08-12	2004-06-15	2003-10-27	2003-08-01	2003-07-31	2002-04-22
Overall	Number of Days Pending	1354	1373	1378	1501	1558	1599	1764	1824	1825	2203

# VII. FOIA REQUESTS: RESPONSE TIME FOR PROCESSED AND PENDING REQUESTS

## A. Processed Requests – Response Time for All Processed Perfected Requests

		SIM	PLE			COM	PLEX		EXPEDITED PROCESSING				
	*Median Number of Days	#Average Number of Days	Lowest Number of Days	Highest Number of Days	*Median Number of Days	#Average Number of Days	Lowest Number of Days	Highest Number of Days	*Median Number of Days	#Average Number of Days	Lowest Number of Days	Highest Number of Days	
AMS	32	35	1	206	0	0	0	0	0	0	0	0	
APHIS	46	112	1	1,106	322	368	12	1,042	161	383	3	1,189	
ASCR	15	15	1	30	0	0	0	0	0	0	0	0	
DM	22.5	36	1	96	0	0	0	0	0	0	0	0	
FAS	8	24.2	0.5	130	0	0	0	0	0	0	0	0	
FNCS	12	19	1	178	0	0	0	0	0	0	0	0	
FSA <sup>8</sup>	1	2	0	200	2	18	0	349	1	1	1	1	
FS	13	16.42	0	262	29	38.5	0	328	0	0	0	0	
FSIS	21	44	<1	445	43	119	<1	643	0	0	0	0	
GIPSA	5	7.4	1	36	44	76	37	133	0	0	0	0	
NAD	2	3	1	11	0	0	0	0	0	0	0	0	
NRCS	5	5	1	23	14	14	1	26	0	0	0	0	
OBPA	6	6	0	21	0	0	0	0	0	0	0	0	
OCFO	4	6	1	20	19	19	0	19	0	0	0	0	
OGC	28	75	3	581	0	0	0	0	0	0	0	0	
OIG	8	14	2	34	100	156	41	778	0	0	0	0	
OSEC	20	21	1	93	39	43	4	106	0	0	0	0	
REE	0	0	0	0	12	13	1	32	0	0	0	0	
RMA	9	12	1	36	32	32	6	89	0	0	0	0	
RD	13	45	<1	999	131	112	14	239	0	0	0	0	
USDA OVERALL	23	26.05	0	1,106	161	50.43	0	1,042	80.5	19.2	0	1,189	

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<sup>&</sup>lt;sup>8</sup> FSA - For Section VII, FSA included in its Annual FOIA Report a fourth category titled "NORMAL". However, USDA's Annual FOIA Report does not maintain a NORMAL category. Therefore, throughout the entirety of Section VII, FSA's NORMAL category is incorporated into the SIMPLE category for inclusion and totaling therein.

# B. Processed Requests – Response Time for Perfected Requests in Which Information Was Granted

		SIM	PLE			COM	PLEX		EXPEDITED PROCESSING				
	*Median Number of Days	#Average Number of Days	Lowest Number of Days	Highest Number of Days	*Median Number of Days	#Average Number of Days	Lowest Number of Days	Highest Number of Days	*Median Number of Days	#Average Number of Days	Lowest Number of Days	Highest Number of Days	
AMS	27	35	8	181	0	0	0	0	0	0	0	0	
APHIS	50	124	1	1,062	282	369	12	1,042	97	445	22	1,189	
ASCR	15	15	1	30	0	0	0	0	0	0	0	0	
DM	21	33	1	96	0	0	0	0	0	0	0	0	
FAS	8	14.8	.5	130	0	0	0	0	0	0	0	0	
FNCS	16	21	1	145	0	0	0	0	0	0	0	0	
FSA <sup>9</sup>	1	2	0	200	6	17	1	209	1	1	1	1	
FS	13	16.64	0	262	31	40.56	0	274	0	0	0	0	
FSIS	15	60	1	400	101	144	1	586	0	0	0	0	
GIPSA	3	5.62	1	32	0	0	0	0	0	0	0	0	
NAD	2	3	1	11	0	0	0	0	0	0	0	0	
NRCS	8	6	1	22	14	14	1	26	0	0	0	0	
OBPA	7	8	1	16	0	0	0	0	0	0	0	0	
OCFO	6	6	1	14	19	19	0	19	0	0	0	0	
OGC	34	43	20	91	0	0	0	0	0	0	0	0	
OIG	6	11	6	23	0	0	0	0	0	0	0	0	
OSEC	17	19.6	1	93	45	43	4	85	0	0	0	0	
REE	0	0	0	0	11	12	1	32	0	0	0	0	
RMA	12	13	0	53	32	33	9	89	0	0	0	0	
RD	5	31	5	56	0	0	0	0	0	0	0	0	
USDA OVERALL	25	24.55	0	1,062	141	34.38	0	1,042	48.5	22.3	0	1,189	

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<sup>&</sup>lt;sup>9</sup> FSA - For Section VII, FSA included in its Annual FOIA Report a fourth category titled "NORMAL". However, USDA's Annual FOIA Report does not maintain a NORMAL category. Therefore, throughout the entirety of Section VII, FSA's NORMAL category is incorporated into the SIMPLE category for inclusion and totaling therein.

## C. Processed Requests – Response Time in Day Increments

						Sim	ple Req	uests						
Component	1-20 Days	21- 40 Days	41- 60 Days	61- 80 Days	81- 100 Days	101- 120 Days	121- 140 Days	141- 160 Days	161- 180 Days	181- 200 Days	201- 300 Days	301- 400 Days	401+ Days	TOTAL
AMS	53	41	9	2	3	2	3	2	0	1	1	0	0	117
APHIS	183	139	87	59	36	30	13	15	18	7	32	29	53	701
ASCR	6	0	0	0	0	0	0	0	0	0	0	0	0	6
DM	25	8	8	10	8	0	0	0	1	4	1	0	0	65
FAS	21	1	1	2	0	0	2	0	0	0	0	0	0	27
FNCS	123	28	1	1	2	2	2	1	1	0	0	0	0	161
FSA <sup>10</sup>	13,787	80	10	1	3	2	1	0	0	2	0	0	0	13,886
FS	52	1,418	328	70	20	13	4	2	2	0	2	3	0	1,914
FSIS	26	15	1	3	3	1	2	0	0	1	0	1	1	54
GIPSA	63	7	0	0	0	0	0	0	0	0	0	0	0	70
NAD	10	0	0	0	0	0	0	0	0	0	0	0	0	10
NRCS	466	6	0	0	0	0	0	0	0	0	0	0	0	472
OBPA	13	0	0	0	0	0	0	0	0	0	0	0	0	13
OCFO	26	0	0	0	0	0	0	0	0	0	0	0	0	26
OGC	12	7	1	1	1	0	0	0	0	0	1	1	1	25
OIG	77	23		0	0	0	0	0	0	0	0	0	0	100
OSEC	53	7	5	0	0	0	1	0	0	0	0	0	0	66
REE	24	0	0	0	0	0	0	0	0	0	0	0	0	24
RMA	62	13	1	0	0	0	0	0	0	0	0	0	0	76
RD	468	94	37	14	15	12	7	5	1	2	15	7	15	692
USDA OVERALL	15,550	1,887	489	163	91	62	35	25	23	17	52	41	70	18,505

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<sup>&</sup>lt;sup>10</sup> FSA - For Section VII, FSA included in its Annual FOIA Report a fourth category titled "NORMAL". However, USDA's Annual FOIA Report does not maintain a NORMAL category. Therefore, throughout the entirety of Section VII, FSA's NORMAL category is incorporated into the SIMPLE category for inclusion and totaling therein.

						Comp	olex Red	quests						
Component	1-20 Days	21- 40 Days	41- 60 Days	61- 80 Days	81- 100 Days	101- 120 Days	121- 140 Days	141- 160 Days	161- 180 Days	181- 200 Days	201- 300 Days	301- 400 Days	401+ Days	TOTAL
AMS	0	0	0	0	0	0	0	0	0	0	0	0	0	0
APHIS	1	4	6	7	6	8	7	7	8	17	20	23	78	192
ASCR	0	0	0	1	0	0	0	0	0	0	0	0	0	1
DM	0	0	0	0	0	0	0	0	0	0	0	0	0	0
FAS	0	0	0	0	0	0	0	0	0	0	0	0	0	0
FNCS	0	0	0	0	0	0	0	0	0	0	0	0	0	0
FSA	258	53	15	9	1	3	1	1	0	0	2	5	0	348
FS	1	155	159	70	26	28	15	5	2	0	0	2	1	464
FSIS	76	17	18	8	9	4	6	3	5	8	14	5	21	194
GIPSA	0	0	2	1	2	1	1	0	0	0	0	0	0	7
NAD	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NRCS	3	1	0	0	0	0	0	0	0	0	0	0	0	4
OBPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OCFO	1	0	0	0	0	0	0	0	0	0	0	0	0	1
OGC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OIG	0	0	10	3	4	7	2	4	2	2	9	0	2	45
OSEC	1	3	5	0	0	0	0	0	0	0	0	0	0	9
REE	98	14	0	0	0	0	0	0	0	0	0	0	0	112
RMA	5	21	4	0	1	0	0	0	0	0	0	0	0	31
RD	3	1	1	0	0	0	2	0	0	2	2	0	0	11
USDA OVERALL	447	269	220	99	49	51	34	20	17	29	47	35	102	1,419

					Requ	ests Gra	anted E	xpedited	l Servic	e				
Component	1-20 Days	21- 40 Days	41- 60 Days	61- 80 Days	81- 100 Days	101- 120 Days	121- 140 Days	141- 160 Days	161- 180 Days	181- 200 Days	201- 300 Days	301- 400 Days	401+ Days	TOTAL
AMS	2	6	1	0	0	0	0	0	0	0	0	0	0	9
APHIS	1	1	1	1	1	1	0	0	1	0	0	3	6	16
ASCR	0	0	0	0	0	0	0	0	0	0	0	0	0	0
DM	0	0	0	0	0	0	0	0	0	0	0	0	0	0
FAS	0	0	0	0	0	0	0	0	0	0	0	0	0	0
FNCS	0	0	0	0	0	0	0	0	0	0	0	0	0	0
FSA	13	0	0	0	0	0	0	0	0	0	0	0	0	13
FS	0	0	0	0	0	0	0	0	0	0	0	0	0	0
FSIS	0	0	0	0	0	0	0	0	0	0	0	0	0	0
GIPSA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NAD	0	0	0	0	0	0	0	0	0	0	0	0	0	0
NRCS	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OBPA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OCFO	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OGC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OIG	0	0	0	0	0	0	0	0	0	0	0	0	0	0
OSEC	0	0	0	0	0	0	0	0	0	0	0	0	0	0
REE	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RMA	0	0	0	0	0	0	0	0	0	0	0	0	0	0
RD	0	0	0	0	0	0	0	0	0	0	0	0	0	0
USDA OVERALL	16	7	2	1	1	1	0	0	1	0	0	3	6	38

D. Pending Requests – All Pending Perfected Requests

		SIMPLE	3	(	COMPLE	EX		EXPEDITED PROCESSING			
Component	Number Pending	*Median Number of Days	#Average Number of Days	Number Pending	*Median Number of Days	#Average Number of Days	Number Pending	*Median Number of Days	#Average Number of Days		
AMS	11	21	80	0	0	0	0	0	0		
APHIS	102	37	119	159	196	279	0	0	0		
ASCR	0	0	0	0	0	0	0	0	0		
DM	6	38	40	0	0	0	0	0	0		
FAS	4	1	2	0	0	0	0	0	0		
FNCS	22	26	37	0	0	0	0	0	0		
FSA <sup>11</sup>	10	95	111	8	230	195	0	0	0		
FS	498	117.5	142.62	131	94	120.1	0	0	0		
FSIS	16	241	226	249	211	225	0	0	0		
GIPSA	0	0	0	4	0	0	0	0	0		
NAD	0	0	0	0	0	0	0	0	0		
NRCS	5	16	16	0	0	0	0	0	0		
OBPA	0	0	0	0	0	0	0	0	0		
OCFO	0	0	0	0	0	0	0	0	0		
OGC	10	172	262	0	0	0	0	0	0		
OIG	3	5	7	5	33	66	0	0	0		
OSEC	0	0	0	24	55	69	0	0	0		
REE	0	0	0	14	7	8	0	0	0		
RMA	6	20	21	7	38	74	0	0	0		
RD	1	0	0	0	0	0	0	0	0		
USDA OVERALL	694	120.5	53.18	601	115	51.81	0	0	0		

<sup>&</sup>lt;sup>11</sup> FSA - For Section VII, FSA included in its Annual FOIA Report a fourth category titled "NORMAL". However, USDA's Annual FOIA Report does not maintain a NORMAL category. Therefore, throughout the entirety of Section VII, FSA's NORMAL category is incorporated into the SIMPLE category for inclusion and totaling therein.

E. Pending requests – Ten Oldest Pending Perfected Requests

	E	. Pending r	<u>equests – 1</u>								
		10 <sup>th</sup> Oldest	9th	8th	7 <sup>th</sup>	6 <sup>th</sup>	5 <sup>th</sup>	4 <sup>th</sup>	3 <sup>rd</sup>	2 <sup>nd</sup>	Oldest
AMS	Date	2010-09-15	2010-09-08	2010-08-31	2010-08-26	2010-8-26	2009-07-14	2009-07-09	2009-05-11	2009-05-11	2009-05-06
	Number of Days	11	16	22	25	25	308	311	355	355	358
	Date	2007-10-29	2007-10-15	2007-09-19	2007-08-07	2007-05-22	2007-05-17	2007-04-03	2007-02-07	2006-12-06	2006-10-16
APHIS	Number of Days	764	774	792	823	878	881	913	952	997	1034
ASCR	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
ABOR	Number of Days	0	0	0	0	0	0	0	0	0	0
DM	Date	N/A	N/A	N/A	N/A	2010-08-09	2010-08-02	2010-07-26	2010-07-15	2010-07-01	2010-06-02
	Number of Days	0	0	0	0	39	44	49	56	65	86
F G	Date	N/A	N/A	N/A	N/A	N/A	N/A	2010-09-29	2010-09-29	2010-09-27	2010-09-27
FAS	Number of Days	0	0	0	0	0	0	1	1	3	3
FNCS	Date	2010-09-18	2010-09-02	2010-08-09	2010-08-05	2010-06-24	2010-06-24	2010-06-09	2010-05-10	2010-03-11	2010-02-19
TNCS	Number of Days	16	21	38	40	70	70	81	102	144	158
	Date	2010-07-16	2010-07-11	2010-04-28	2010-04-05	2010-02-26	2010-02-22	2010-02-16	2010-02-09	2010-02-08	2010-01-12
FSA	Number of Days	54	58	109	126	152	156	160	164	165	183
FS	Date	2009-1-15	2009-01-08	2008-12-16	2008-12-02	2008-12-02	2008-12-02	2008-11-03	2008-10-13	2008-10-10	2008-10-01
	Number of Days	423	428	445	456	456	456	478	493	494	501
FSIS	Date	2008-03-05	2008-03-03	2008-02-28	2008-02-27	2008-02-15	2008-02-12	2008-02-05	2008-01-07	2008-01-03	2008-01-03
	Number of Days	689	691	693	694	701	704	709	729	731	731
GIPSA	Date	N/A	N/A	N/A	N/A	N/A	N/A	2010-08-26	2010-08-23	2010-08-18	2010-08-02
GII SA	Number of Days	0	0	0	0	0	0	22	27	30	42
NAD	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
NRCS	Date	N/A	N/A	N/A	N/A	N/A	N/A	2010-09-30	2010-09-28	2010-09-27	2010-09-23
	Number of Days	0	0	0	0	0	0	1	2	3	4
OBPA	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
OCFO	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
OCIO	Number of Days	0	0	0	0	0	0	0	0	0	0
	Date	2010-09-28	2010-09-28	2010-09-21	2010-07-26	2010-03-25	2009-11-24	2009-02-06	2008-11-12	2008-03-05	2007-08-16
OGC	Number of Days	2	2	7	47	132	213	435	471	583	816
OIG	Date	N/A	N/A	2010-09-28	2010-09-24	2010-09-13	2010-08-24	2010-08-24	2010-08-17	2010-08-17	2010-02-02
OIG	Number of Days	0	0	3	5	14	28	28	33	33	171
0.67-5	Date	2010-06-08	2010-06-08	2010-05-18	2010-04-21	2010-04-21	2010-03-29	2010-03-10	2010-03-10	2010-02-26	2010-02-23
OSEC	Number of Days	83	83	98	117	117	131	144	144	153	155
	•	•	•	•							i.

		10 <sup>th</sup>	9th	8th	7 <sup>th</sup>	6 <sup>th</sup>	5 <sup>th</sup>	4 <sup>th</sup>	3 <sup>rd</sup>	2 <sup>nd</sup>	Oldest
		Oldest									
REE	Date	2010-09-28	2010-09-24	2010-09-23	2010-09-20	2010-09-17	2010-09-17	2010-09-08	2010-09-08	2010-09-07	2010-09-07
KEE	Number of Days	2	4	5	8	9	9	16	16	17	17
RMA	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
KWA	Number of Days	0	0	0	0	0	0	0	0	0	0
RD	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2010-08-30
KD	Number of Days	0	0	0	0	0	0	0	0	0	22
USDA	Date	2007-10-15	2007-09-19	2007-08-16	2007-08-07	2007-05-22	2007-05-17	2007-04-03	2007-02-07	2006-12-06	2006-10-16
OVERALL	Number of Days	774	792	816	823	878	881	913	952	997	1034

## VIII. REQUESTS FOR EXPEDITED PROCESSING AND REQUESTS FOR FEE WAIVER

### A. Requests for Expedited Processing

			*Median	#Average	Number
	Number	Number	Number of	Number of	Adjudicated
	Granted	Denied	Days to	Days to	Within Ten
			Adjudicate	Adjudicate	Calendar Days
AMS	9	0	25	28	0
APHIS	0	0	0	0	0
ASCR	0	0	N/A	N/A	0
DM	1	1	0	0	1
FAS	0	0	0	0	0
FNCS	0	0	0	0	0
FSA	13	361	1	1	370
FS	12	4	5.5	19.94	11
FSIS	0	0	N/A	N/A	N/A
GIPSA	0	0	0	0	0
NAD	0	0	0	0	0
NRCS	0	0	0	0	0
OBPA	0	0	0	0	0
OCFO	0	0	0	0	0
OGC	0	0	0	0	0
OIG	0	0	0	0	0
OSEC	0	5	1	1	5
REE	0	0	0	0	0
RMA	0	0	0	0	0
RD	0	0	0	0	0
USDA OVERALL	35	371	12.5	2.5	387

#### **B.** Requests for Fee Waiver

B. Requests	of Fee wa	1101		
			*Median	#Average
	Number	Number	Number of	Number of
	Granted	Denied	Days to	Days to
			Adjudicate	Adjudicate
AMS	13	0	22	35
APHIS	0	0	0	0
ASCR	0	0	N/A	N/A
DM	0	0	0	0
FAS	0	0	0	0
FNCS	4	0	0	0
FSA	849	31	1	1
FS	279	29	3	11.1
FSIS	0	0	N/A	N/A
GIPSA	0	0	0	0
NAD	0	0	0	0
NRCS	1	0	1	1
OBPA	0	0	0	0
OCFO	0	0	0	0
OGC	0	0	0	0
OIG	0	0	0	0
OSEC	16	0	1	1
REE	0	0	0	0
RMA	1	0	5	5
RD	0	0	0	0
USDA OVERALL	1,163	60	11	2.71

#### IX. FOIA PERSONNEL AND COSTS

	PERSONNEL COSTS  COSTS										
						T					
	Number of	Number of	Total Number	Processing	Litigation-	Total Costs					
	"Full-Time	"Equivalent	of "Full-Time	Costs (At	Related Costs						
	FOIA	Full-Time	FOIA Staff"	initial request							
	Employees"	FOIA	(The sum of	and appeal							
		Employees"	Columns 1 & 2)	levels)							
AMS	1	6	7	\$564,000.00	\$0.00	\$564,000.00					
APHIS	18	0	18	\$1,619,102.37	\$397,421.13	\$2,016,523.50					
ASCR	1	1	2	\$0.00	\$0.00	\$0.00					
DM	0	0.25	0.25	\$24,484.00	\$0.00	\$24,484.00					
FAS	0	2	2	\$230,000.00	\$0.00	\$230,000.00					
FNCS	1	4.375	5.375	\$365,048.00	\$0.00	\$365,048.00					
FSA	15	75.57	90.57	\$3,848,042.18	\$7,800.00	\$3,855,842.18					
FS	13	59.65	72.65	\$3,227,375.13	0	\$3,227,375.13					
FSIS	5	0.75	5.75	\$623,802.00	\$0.00	\$623,802.00					
GIPSA	0	4.00	4.00	\$50,00.00	\$142,000.00	\$192,000.00					
NAD	1	0	1	\$0.00	\$0.00	\$0.00					
NRCS	2	18	20	\$155,896.00	\$0.00	\$155,896.00					
OBPA	0	0.1	0.1	\$9,794.00	\$0.00	\$9,794.00					
OCFO	0	0.08	0.08	\$6,901.79	\$0.00	\$6,901.79					
OGC	0	7.5	7.5	\$1,000,000.00	\$650,00.00	\$1,650,000.00					
OIG	2	2.17	4.17	\$423,565.45	\$10,349.53	\$433,914.98					
OSEC	2	0.33	2.33	\$246,061.00	\$0.00	\$246,061.00					
REE	0	1.5	1.5	\$144,869.00	\$0.00	\$144,869.00					
RMA	1	0.5	1.5	\$6,742.24	\$154,720.24	\$161,462.48					
RD	5	7.05	12.05	\$902,207.60	\$30,000.00	\$932,207.60					
USDA OVERALL	67	190.83	257.83	\$13,397,891.00	\$742,290.90	\$14,840,182.00					

### X. FEES COLLECTED FOR PROCESSING

	Total Amount of Fees	Percentage of Total
	Collected	Costs
AMS	\$6,915.98	1.23%
APHIS	\$0.00	0.00%
ASCR	\$0.00	0.00%
DM	\$0.00	0.00%
FAS	\$663.33	0.29%
FNCS	\$2,634.43	0.72%
FSA	\$48,623.46	1.26%
FS	\$16,922.63	0.52%
FSIS	\$1,550.80	0.25%
GIPSA	\$187.60	0.38%
NAD	\$0.00	0.00%
NRCS	\$571.00	0.37%
OBPA	\$0.00	0.00%
OCFO	\$0.00	0.00%
OGC	\$0.00	0.00%
OIG	\$4,682.54	1.11%
OSEC	\$0.00	0.00%
REE	\$444.00	.31%
RMA	\$6,680.43	4.14%
RD	\$2,607.75	0.29%
USDA OVERALL	\$92,483.95	0.62%

XI – FOIA REGULATIONS

http://www.dm.usda.gov/foia.htm

### XII. BACKLOGS, CONSULTATIONS, AND COMPARISONS

A. Backlogs of FOIA Requests and Administrative Appeals

		Administrative Appear
Component	Number of Backlogged	Number of Backlogged
	Requests as of End of	Appeals as of End of
	Fiscal Year	Fiscal Year
AMS	11	11
APHIS	219	58
ASCR	0	0
DM	6	1
FAS	0	0
FNCS	11	7
FSA	12	2
FS	477	26
FSIS	254	5
GIPSA	4	0
NAD	1	1
NRCS	0	0
OBPA	0	0
OCFO	0	0
OGC	7	3
OIG	5	3
OSEC	20	2
REE	0	0
RMA	2	2
RD	107	15
USDA OVERALL	1,136	136

# B. Consultations on FOIA Requests – Received, Processed, and Pending Consultations

Component	Number of			
1	Consultations Received	Number of	Number of Consultations	Number of Consultations
	from Other Agencies	Consultations	Received from Other	Received from Other Agencies
	that Were Pending at	Received from Other	Agencies that Were	that Were Pending at Your
	Your Agency as of Start	Agencies During the	Processed by Your Agency	Agency as of End of the Fiscal
	of the Fiscal Year	Fiscal Year	During the Fiscal Year	Year
AMS	0	0	0	0
APHIS	0	0	0	0
ASCR	0	0	0	0
DM	0	1	1	0
FAS	0	4	3	1
FNCS	0	0	0	0
FSA	2	27	28	1
FS	0	0	0	0
FSIS	0	0	0	0
GIPSA	0	0	0	0
NAD	0	0	0	0
NRCS	0	0	0	0
OBPA	0	0	0	0
OCFO	0	0	0	0
OGC	0	0	0	0
OIG	0	1	1	0
OSEC	1	4	5	0
REE	0	0	0	0
RMA	0	0	0	0
RD	0	0	0	0
USDA OVERALL	3	37	38	2

# C. Consultations on FOIA Requests – Ten Oldest Consultations Received from Other Agencies

Component		10 <sup>th</sup> Oldest	9 <sup>th</sup>	8 <sup>th</sup>	7 <sup>th</sup>	6 <sup>th</sup>	5 <sup>th</sup>	4 <sup>th</sup>	3 <sup>rd</sup>	2 <sup>nd</sup>	Oldest
AMS	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
APHIS	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
ASCR	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
DM	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
FAS	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2010-09-27
	Number of Days	0	0	0	0	0	0	0	0	0	3
FNCS	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
FSA	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2008-09-03
	Number of Days	0	0	0	0	0	0	0	0	0	522
FS	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
FSIS	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
GIPSA	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
NAD	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
NRCS	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
OBPA	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
OCFO	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
OGC	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0

Component		10 <sup>th</sup> Oldest	9 <sup>th</sup>	8 <sup>th</sup>	7 <sup>th</sup>	6 <sup>th</sup>	5 <sup>th</sup>	4 <sup>th</sup>	3 <sup>rd</sup>	2 <sup>nd</sup>	Oldest
OIG	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
OSEC	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
REE	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
RMA	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
RD	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
	Number of Days	0	0	0	0	0	0	0	0	0	0
USDA OVERALL	Date	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	2010-09-27	2008-09-03
	Number of Days	0	0	0	0	0	0	0	0	3	522

### D. Comparison of Number of Requests from Previous and Current Annual Report

- Requests Received, Processed, and Backlogged

•	NUMBER OF REQUESTS RECEIVED		NUMBER OF REQUESTS PROCESSED	
Component	Number Received	Number Received	Number Processed	Number Processed
	During Fiscal Year	During Fiscal Year	During Fiscal Year	During Fiscal Year
	from Last Year's	from	from Last Year's	from Current
	Annual Report	Current Annual Report	Annual Report	Annual Report
AMS	110	126	113	126
APHIS	1,232	708	1,656	909
ASCR	27	5	28	7
DM	61	63	61	65
FAS	48	30	53	27
FNCS	142	177	136	161
FSA	6,445	14,267	6,490	14,247
FS <sup>12</sup>	2,573	2,771	2,521	2,378
FSIS	318	387	377	248
GIPSA	115	77	111	77
NAD	9	10	9	10
NRCS	448	475	458	476
OBPA	4	13	4	13
OCFO	23	27	22	27
OGC	26	24	29	25
OIG	148	121	136	145
OSEC	102	83	87	75
REE	163	144	159	136
RMA	92	110	89	107
RD	860	750	871	703
USDA OVERALL	12,946	20,368	13,410	19,962

<sup>&</sup>lt;sup>12</sup> FS - Some reporting inconsistencies with totals referenced from USDA's FY 2009 FOIA Report may be attributable to the three different migrations of data actions from a proprietary tracking system to the newly procured FOIAXpress system. The national backlog increase may have been caused by either the data migration or the more accurate system capturing "open" cases as backlog.

## D.2. Comparison of Backlogged Requests from Previous and Current Annual Report

Component	Number of Backlogged	Number of Backlogged	
•	Requests	Requests	
	as of End of the Fiscal	as of End of the Fiscal	
	Year from	Year from	
	Previous Annual Report	Current Annual Report	
AMS	8	11	
APHIS	387	219	
ASCR	2	0	
DM	8	6	
FAS	0	0	
FNCS	1	11	
FSA	4	12	
FS <sup>13</sup>	116	477	
FSIS	132	254	
GIPSA	4	4	
NAD	0	1	
NRCS	0	0	
OBPA	0	0	
OCFO	0	0	
OGC	7	7	
OIG	22	5	
OSEC	8	20	
REE	1	0	
RMA	0	2	
RD	60	107	
USDA	760	1,136	
OVERALL	700		

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<sup>&</sup>lt;sup>13</sup> FS - Some reporting inconsistencies with totals referenced from USDA's FY 2009 FOIA Report may be attributable to the three different migrations of data actions from a proprietary tracking system to the newly procured FOIAXpress system. The national backlog increase may have been caused by either the data migration or the more accurate system capturing "open" cases as backlog.

# E. Comparison of Numbers of Administrative Appeals from Previous and Current Annual Report – Appeals Received, Processed, and Backlogged

	NUMBER OF APPEALS <u>RECEIVED</u>		NUMBER OF APPEALS <u>PROCESSED</u>	
Component	Number Received	Number Received	Number Processed	Number Processed
	During Fiscal Year	During Fiscal Year from	During Fiscal	During Fiscal Year
	from Last Year's	Current	Year	from Current
	Annual Report	Annual Report	from Last Year's	Annual Report
			Annual Report	
AMS	12	17	10	18
APHIS	10	17	11	30
ASCR	0	0	1	0
DM	3	2	2	1
FAS	4	0	5	0
FNCS	9	16	14	13
FSA	48	32	73	35
FS <sup>14</sup>	66	63	56	68
FSIS	6	0	14	0
GIPSA	0	1	0	1
NAD	1	0	0	0
NRCS	8	13	12	12
OBPA	0	0	0	0
OCFO	0	0	0	0
OGC	2	6	3	6
OIG	11	15	10	11
OSEC	1	3	1	1
REE	5	2	3	4
RMA	2	1	1	2
RD	12	9	26	11
USDA OVERALL	200	197	242	213

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<sup>&</sup>lt;sup>14</sup> FS - Some reporting inconsistencies with totals referenced from USDA's FY 2009 FOIA Report may be attributable to the three different migrations of data actions from a proprietary tracking system to the newly procured FOIAXpress system. The national backlog increase may have been caused by either the data migration or the more accurate system capturing "open" cases as backlog.

## **E.2.** Comparison of Backlogged Administrative Appeals from Previous and Current Annual Report

Component	Number of Backlogged Appeals as of End of the Fiscal Year from Previous Annual Report	Number of Backlogged Appeals as of End of the Fiscal Year from Current Annual Report
AMS	6	11
APHIS	72	58
ASCR	0	0
DM	0	1
FAS	0	0
FNCS <sup>15</sup>	4	7
FSA	6	2
FS <sup>16</sup>	26	26
FSIS	5	5
GIPSA	0	0
NAD	1	1
NRCS	4	0
OBPA	0	0
OCFO	0	0
OGC	3	3
OIG	1	3
OSEC	0	2
REE	2	0
RMA	3	2
RD	17	15
USDA OVERALL	150	136

#### F. Discussion of Other FOIA Activities (Optional)

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<sup>&</sup>lt;sup>15</sup> FNCS - FY09 Annual Report reflects 3 backlogged appeals as of the end of the fiscal year, whereas the actual number is 4. Number of appeals pending as of start of FY10 is different from the FY09 annual report due to an appeal being found that was never answered.

<sup>&</sup>lt;sup>16</sup> FS - Some reporting inconsistencies with totals referenced from USDA's FY 2009 FOIA Report may be attributable to the three different migrations of data actions from a proprietary tracking system to the newly procured FOIAXpress system. The national backlog increase may have been caused by either the data migration or the more accurate system capturing "open" cases as backlog.